

03C08

AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Philip M. GREEN

Docket No.

C37780/123357

Serial No.

10/077,429

APR 15 2002

Filing Date

February 15, 2002

Examiner

To be Assigned

Group Art Unit

To be Assigned

Invention: RULE-BASED ACCOUNTING SYSTEM FOR SECURITIES TRANSACTIONS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	9 -	20 =	8 x	\$18.00	\$144.00
INDEP. CLAIMS	4 -	4 =	3 x	\$84.00	\$252.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$396.00

- ☐ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$396.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-4467  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Diana J. Diasparra  
Signature

Dated: 4/5/2002

Diana J. Diasparra  
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I certify that this document and fee is being deposited on 4/5/2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Diana J. Diasparra  
Signature of Person Mailing Correspondence

Diana J. Diasparra

Typed or Printed Name of Person Mailing Correspondence

CC:



#3/A  
Docket No.: C37780/123357

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Philip M. GREEN ) Examiner: To be Assigned  
Serial No.: 10/077,429 ) Group Art Unit: To be Assigned  
Filed: February 15, 2002 )  
For: RULES-BASED ACCOUNTING SYSTEM  
FOR SECURITIES TRANSACTIONS

**PRELIMINARY AMENDMENT**

April 5, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Entry of the following amendments in advance of a first Office Action on the merits respectfully is solicited.

Please amend the application as follows:

**IN THE CLAIMS**

Please cancel claims 3 and 11.

Please amend claims 1, 2, and 7-10 as follows:

04/18/2002 AOSMAN1 00000034 10077429

01 FC:102	252.00 OP
02 FC:103	144.00 OP

In accordance with amendment practice pursuant to Rule 1.121(c)(1)(i), presented below is a "clean" version of "the rewritten claims." A "marked up" version of these claims is attached hereto as Exhibit 1 pursuant to Rule 1.121(c)(1)(ii).